



5-9-03

1642

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Needleman et al.

Serial No.: 09/387,340

Filed: August 31, 1999

Entitled: AN IMMUNOLOGICAL PROCESS AND  
CONSTRUCTS FOR INCREASING THE HDL  
CHOLESTEROL CONCENTRATION

Attorney Docket No.: AVA-432.0 US-1

Examiner: M. Davis

Art Unit: 1642

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith are: [X] a Supplemental Information Disclosure Statement (IDS) under 37 CFR §§ 1.56, 1.97 and 1.98; [X] Form PTO-1449 Information Disclosure Statement; [X] photocopies of the disclosed documents, to be filed in the above-identified patent application; and [X] a return receipt post card.

PAYMENT OF FEES

[ ] A check in the amount of \$\_\_\_\_\_ (check no.      ) in payment of the fee for submission of an information disclosure statement transmitted herewith.

[X] The Commissioner is hereby authorized to charge payment of any additional fees required under 37 CFR §§ 1.16 or 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 50-0268. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,

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Leon R. Yankwich, Reg. No. 30,237  
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**CERTIFICATE OF MAILING**

The undersigned hereby certifies that this correspondence is being deposited with the U.S. Postal Service as Express mail, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Express Mail Label No.: EV 19044705 US

May 7, 2003  
Date

Melanie A. McFadden

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**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §§ 1.56, 1.97 AND 1.98**

Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56, Applicants submit this Information Disclosure Statement pursuant to 37 CFR §§ 1.97 and 1.98 in the above-identified application for consideration by the Patent Office. A listing of the cited document is also enclosed, as well as, for the Examiner's convenience, a copy of the document in the list. This paper is being filed pursuant to 37 CFR § 1.97(c) along with the fee set forth in 37 CFR§ 1.17(p), to secure consideration in the USPTO of documents cited by the European Patent Office in a corresponding foreign application.

**US Patent Documents**

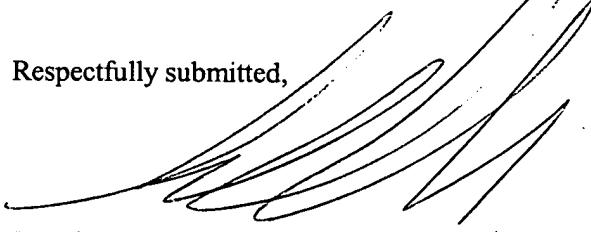
US 6,410,022 issued June 25, 2002, Rittershaus; and

US 6,555,113 issued April 29, 2003, Rittershaus et al.

Applicants do not intend to represent that the document submitted herein is material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicants respectfully request that the documents submitted herein be considered and made of record in this application.

Respectfully submitted,



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May 7, 2003  
Date

Melanie A. McFadden  
Melanie A. McFadden



Modified FORM PTO-1449	ATTY. DOCKET NO. AVA-432.0 US-1	SERIAL NO. 09/387,340
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	APPLICANT Needleman et al.	
(Use several sheets if necessary)	FILING DATE August 31, 1999	GROUP 1642

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**REFERENCE DESIGNATION**
**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	US 6,410,022	06/25/02	Rittershaus			
	AB	US 6,555,113	04/29/03	Rittershaus et al.			

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	TRANSLATION YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER	DATE CONSIDERED	

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP §609.  
 Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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